

JCWS/CS 19 JUL 2004

07/16/2004 15:28 FAX 512 322 2502

BAKER BOTTS

001

**BAKER BOTTS LLP**

*Fax receipt will not be confirmed by phone unless requested.*

1500 SAN JACINTO CENTER	AUSTIN
98 SAN JACINTO BOULEVARD	BAKU
AUSTIN, TEXAS	DALLAS
78701-4287	HOUSTON
512.322.2500	LONDON
FAX 512.322.2501	MOSCOW
<a href="http://www.bakerbotts.com">www.bakerbotts.com</a>	NEW YORK
	RIYADH
	WASHINGTON

---

FROM Heather D. Moseley  
DATE July 16, 2004  
PAGES 5

VOICE NO. 512.322.2637  
FAX NO. 512.322.2501  
RETURN TO Heather Moseley

---

TO Office of Initial Patent Examinations - Filing Receipt Corrections FAX NO. 703.746.9195  
VOICE NO.

**MESSAGE**

re: U.S. Patent Application Serial No. 10/753,613 filed January 8, 2004

Attached please find a Request for Corrected Official Filing Receipt for the above-referenced matter.

Thanks,  
Heather Moseley  
Legal Assistant Clerk  
Baker Botts L.L.P.  
fax 512.322.2501

---

**Notice of Confidentiality**

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 512.322.2575 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 512.322.2575 for assistance.

BILLING NO. 090936.0480

ATTORNEY/EMPLOYEE NO. 05510

CRITICAL DEADLINE, SEND BY 07/16/04 4:00pm

PAGE 1/5 \* RCVD AT 7/16/2004 4:29:57 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-2/2 \* DNIS:7469195 \* CSID:512 322 2502 \* DURATION (mm:ss):02-18

ATTORNEY DOCKET  
090936.0480PATENT APPLICATION  
10/753,613

1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas E. Owen  
 Serial Number: 10/753,613  
 Date Filed: January 8, 2004  
 Group Art: 2834  
 Confirmation No.: 6323  
 Title: *Polymer Film Composite Transducer*

**VIA FACSIMILE 703.746.9195**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being sent via facsimile to 703.746.9195 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

*Heather D. Moseley*  
 Heather D. Moseley  
7/16/04  
 Date

Dear Sir or Madam:

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Attached is the Filing Receipt, received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Please correct the contact information for Baker Botts L.L.P. by deleting "LIVINGSTON ANN C" and replacing with --31625--.

Please correct the address for Baker Botts L.L.P. by deleting "Suite 1600" and replacing with --Suite 1500--.

AUS01:353958.1

ATTORNEY DOCKET  
090936.0480PATENT APPLICATION  
10/753,613

2

Applicant believes no fee is due with this request. However, the Commissioner is hereby authorized to charge any amount required by this paper to Deposit Account No. 50-2148 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for ApplicantAnn C. Livingston  
Reg. No. 32,479

Date: \_\_\_\_\_

CORRESPONDENCE ADDRESSCustomer No. **31625**Phone: 512.322.2634  
Fax: 512.322.8325

AUS01:353958.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/753,613	01/08/2004	2834	450	090936.0480	1	10	2

CONFIRMATION NO. 6323

LIVINGSTONANN C 31625

Baker Botts, LLP  
 Suite 1600 1500  
 98 San Jacinto  
 Austin, TX 78701

UPDATED FILING RECEIPT



\*OC000000013166155\*

Date Mailed: 07/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas E. Owen, Helotes, TX;

## Assignment For Published Patent Application

Southwest Research Institute;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/439,111 01/10/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 04/19/2004

Projected Publication Date: 10/14/2004

Non-Publication Request: No

DOCKETED

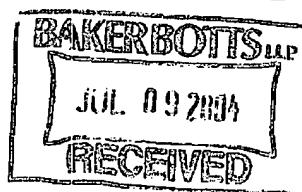
JUL 16 2004

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Polymer film composite transducer



**Preliminary Class**

310

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).